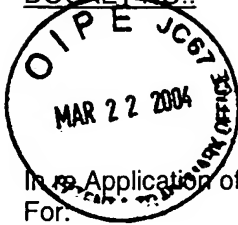


DOCKET NO.:

P-10579.00



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL**

In re Application of: Joachim Hossicak-Schott et al.

For: ADVANCED VALVE METAL ANODES WITH COMPLEX INTERIOR AND SURFACE FEATURES  
AND METHODS FOR PROCESSING SAME

Serial No.: 10/692,649

Filed: October 23, 2003

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8:** I hereby certify that this **INFORMATION DISCLOSURE STATEMENT** and the paper(s), as described herein, are being deposited in the U.S. Postal Service, as first class mail, addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231 on this 18th day of March, 2004.

Molly Chlebeck  
Signature  
MOLLY CHLEBECK  
Printed Name

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Information Disclosure Statement
- ☒ PTO FORM 1449
- ☒ Copies cited references
- ☒ Return Postcard

**FEE CALCULATION**

- ☒ \$ 00.00 Pursuant to 37 CFR §1.97(b) (before mailing of first Office Action)
- ☐ \$ 00.00 Pursuant to 37 CFR §1.97(c) with Certification (cited in foreign application not more than 3 months earlier)
- ☐ \$ 00.00 Pursuant to 37 CFR §1.97(e) with Certification
- ☐ \$180.00 Pursuant to 37 CFR §1.97(c) without Certification
- ☐ \$180.00 Pursuant to 37 CFR §1.97(d) with Certification

- ☐ Applicant hereby petitions for a \_\_\_\_\_ months' extension of time. If an additional extension of time is required, please consider this petition therefor.
- ☒ Applicant believes that no extension of time is required. However, if an extension of time is required, please consider this a petition therefor to provide for the possibility that applicant has inadvertently overlooked the need for an extension of time.
- ☒ Please charge any additional fees or credits to Deposit Account No. 13-2546 which may have been overlooked with regard to this filing. A duplicate of this transmittal is enclosed.

17 March 04  
Date

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Customer No. 27581



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Joachim Hossick-Schott, et al. ) Art Unit: 1763  
Serial No.: 10/692,649 ) Examiner: Unknown  
Filed: October 23, 2003 ) Docket: P-10579.00

For: ADVANCED VALVE METAL ANODES WITH COMPLEX INTERIOR AND  
SURFACE FEATURES AND METHODS FOR PROCESSING SAME

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington D.C. 20231

Dear Sir:

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with C.F.R. §§ 1.97 *et. seq.*, the materials enclosed herewith are brought to the attention of the Examiner as possibly being of interest in connection with the above-identified patent application.

Consideration of each of the documents listed on the attached Form 1449 is respectfully requested. Pursuant to the provisions of M.P.E.P. §609, Applicant further requests that a copy of the Form 1449, marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

Respectfully submitted,

Date:

17 March '04

By:

Paul H. McDowall

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Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	10/692,649		
		Filing Date	October 23, 2003		
		First Named Inventor	Joachim Hossick-Schott		
		Group Art Unit	1763		
		Examiner Name	Unknown		
Sheet	1	of	1	Attorney Docket Number	P10579.00

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite <sup>1</sup> No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	AA	6,275,729 B1		O'Phelan, et al.	08/14/2001	
	AB	6,235,181 B1		Kinard, et al.	05/22/2001	
	AC	6,231,993 B1		Stephenson, et al.	05/15/2001	
	AD	6,006,133		Lessar, et al.	12/21/1999	
	AE	5,983,472		Fayram, et al.	11/16/1999	
	AF	5,908,151		Elias, et al.	06/01/1999	
	AG	5,837,121		Kinard, et al.	11/17/1998	
	AH	5,801,917		Elias	09/01/1998	
	AI	5,559,667		Evans	08/24/1996	
	AJ	5,522,851		Fayram	06/04/1996	
	AK	5,469,325		Evans	11/21/1995	
	AL	5,269,547		Evans	11/29/1994	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite <sup>1</sup> No.	Foreign Patent Document			Name of Patentee of Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite <sup>1</sup> No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AM	Vishay Sprague, "Tantalum Capacitors", Document No. 40035, 30-Aug-01.	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw Line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>1</sup> Unique citation designation number.  
<sup>2</sup> See attached Kinds of U.S. Patent Documents.  
<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).  
<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.  
<sup>5</sup> Kind of document by the appropriate symbol as indicated on the document under WIPO Standard ST. 16 if possible.  
<sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.  
<sup>1</sup> Unique citation designation number.  
<sup>2</sup> Applicant is to place a check mark here if English language translation is attached.